

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

AN AUTOMATED DOCUMENT CASHING SYSTEM

the specification of which (check one)

- () is attached hereto.
(X) was filed by an authorized person on my behalf on
May 30, 1997 as Application Serial No. 08/866,139
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and so identified, and I have also identified below any foreign application for patent or inventor's certificate on this invention filed by me or my legal representatives or assigns and having a filing date before that of the application on which priority is claimed.

<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	<u>Priority Claimed (Yes or No)</u>
NONE			

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
-------------------------------	--------------------	---------------

NONE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith and request that all correspondence in respect to this application be directed to FITCH, EVEN, TABIN & FLANNERY, Suite 900, 135 South LaSalle Street Chicago, Illinois 60603-4277, Telephone No. (312) 372-7842:

<u>Attorney</u>	<u>Reg. No.</u>	<u>Attorney</u>	<u>Reg. No.</u>
Morgan L. Fitch, Jr.	17,023	Robert J. Fox	27,635
Francis A. Even	16,880	Kenneth H. Samples	25,747
Julius Tabin	16,754	Philip T. Petti	31,651
John F. Flannery	19,759	John S. Paniaguas	31,051
Robert B. Jones	20,135	Donald A. Peterson	18,647
James J. Schumann	20,856	John J. Cavanaugh	18,372
R. Steven Pinkstaff	20,448	James R. McBride	24,275
James J. Hamill	19,958	James A. Sprowl	25,061
Timothy E. Levstik	30,192	Bruce R. Mansfield	29,086
Joseph E. Shipley	31,137	Richard P. Beem	32,961
Bryant R. Gold	29,715	Richard A. Kaba	30,562
		Karl R. Fink	34,161

Full name of sole or one joint inventor:

ROBIN HALEY GUSTIN

Inventor's signature:

Robin Haley Gustin

Date:

8/14/97

Residence:

Chicago, Illinois

(City and State for U.S. Residents;
City and Country for others);

Post Office Address:

6171 North Sheridan Road

Unit 812

Chicago, Illinois 60660

Citizenship:

United States of America

Address for Correspondence: FITCH, EVEN, TABIN & FLANNERY
135 South LaSalle Street-Suite 900
Chicago, Illinois 60603-4277

Full name of sole or one
joint inventor:

TROY LIVINGSTON

Inventor's signature:

Date:

Residence:

Northbrook, Illinois
(City and State for U.S. Residents;
City and Country for others);

Post Office Address:

501 Pfingsten

Northbrook, Illinois 60062

Citizenship:

United States of America

Full name of sole or one
joint inventor:

NAMSOO PARK

Inventor's signature:

Date:

Residence:

Schaumburg, Illinois 60194
(City and State for U.S. Residents;
City and Country for others);

Post Office Address:

2232 Kensington Drive

Schaumburg, Illinois 60194

Citizenship:

Korea

Full name of sole or one
joint inventor:

Inventor's signature:

Date:

Residence:

(City and State for U.S. Residents;
City and Country for others);

Post Office Address:

Citizenship:

DECLARATION FOR PATENT APPLICATION

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AN AUTOMATED DOCUMENT CASHING SYSTEM

the specification of which (check one)

() is attached hereto.

(X) was filed by an authorized person on my behalf on
May 30, 1997 as Application Serial No. 08/866,139
and was amended on _____
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<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	<u>Priority Claimed (Yes or No)</u>
NONE			

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
-------------------------------	--------------------	---------------

NONE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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<u>Attorney</u>	<u>Reg. No.</u>	<u>Attorney</u>	<u>Reg. No.</u>
Morgan L. Fitch, Jr.	17,023	Robert J. Fox	27,635
Francis A. Even	16,880	Kenneth H. Samples	25,747
Julius Tabin	16,754	Philip T. Petti	31,651
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Robert B. Jones	20,135	Donald A. Peterson	18,647
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R. Steven Pinkstaff	20,448	James R. McBride	24,275
James J. Hamill	19,958	James A. Sprowl	25,061
Timothy E. Levstik	30,192	Bruce R. Mansfield	29,086
Joseph E. Shipley	31,137	Richard P. Beem	32,961
Bryant R. Gold	29,715	Richard A. Kaba	30,562
		Karl R. Fink	34,161

Full name of sole or one joint inventor:

ROBIN HALEY GUSTIN

Inventor's signature:

Date:

Residence:

Chicago, Illinois
(City and State for U.S. Residents;
City and Country for others);

Post Office Address:

6171 North Sheridan Road

Unit 812

Chicago, Illinois 60660

Citizenship:

United States of America

Address for Correspondence: FITCH, EVEN, TABIN & FLANNERY
135 South LaSalle Street-Suite 900
Chicago, Illinois 60603-4277

Full name of sole or one joint inventor:

Inventor's signature:

Date:

Residence:

Post Office Address:

Citizenship:

Full name of sole or one joint inventor:

Inventor's signature:

Date:

Residence:

Post Office Address:

Citizenship:

Full name of sole or one joint inventor:

Inventor's signature:

Date:

Residence:

Post Office Address:

Citizenship:

THOMAS W. LIVINGSTON

8-12-97

Northbrook, Illinois

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501 Pfingsten

Northbrook, Illinois 60062

United States of America

NAMSOO PARK

Namsoo Park

Aug. 12, 1997

Schaumburg, Illinois 60194

(City and State for U.S. Residents;
City and Country for others);

2232 Kensington Drive

Schaumburg, Illinois 60194

Korea

(City and State for U.S. Residents;
City and Country for others);

DECLARATION
FOR UTILITY OR DESIGN
PATENT APPLICATION

) Attorney Docket No.: 62561
)
) First Named Inventor: Robin H. GUSTIN
)
)
) Application Number:
)
) Filing Date: Herewith
)
) Group Art Unit:
)
) Examiner Name:

☒ Declaration Submitted With Initial Filing ☐ Declaration Submitted After Initial Filing

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

AUTOMATED DOCUMENT CASHING SYSTEM

(Title of Invention)

the specification of which:

(X) is attached hereto, or

() was filed by an authorized person on my behalf on _____ (Date)
as United States Application Number _____
or PCT International Application Number _____,
and was amended on _____ (if applicable).
(Date)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below, and I have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application, on this invention filed by me or my legal representatives or assigns and having a filing date before that of the application on which priority is claimed:

Prior Foreign Application Number(s)	Country	Foreign Filing Date	Priority Not Claimed	Certified Copy Attached	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

Provisional Application
Number(s)

Provisional Application
Filing Date

☐ Additional provisional application numbers are listed on a supplemental priority data sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code, §120, of any prior United States application(s), or under §365(c) of any PCT international application(s) designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information known by me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

<u>Prior U.S.</u> <u>Application Number</u>	<u>Prior PCT</u> <u>International</u> <u>Application Number</u>	<u>Filing Date of</u> <u>U.S. or PCT</u> <u>International</u> <u>Application</u>	<u>Patent Number</u> <u>(if applicable)</u>
08/866,139		May 30, 1997	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioners, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and request that all correspondence and telephone calls in respect to this application be directed to FITCH, EVEN, TABIN & FLANNERY, Suite 900, 135 South LaSalle Street, Chicago, Illinois, 60603-4277, Telephone No. (312) 372-7842, Facsimile No. (312) 372-7848:

<u>Registered Practitioner</u>	<u>Registration Number</u>	<u>Registered Practitioner</u>	<u>Registration Number</u>
Morgan L. Fitch, Jr.	17,023	Karl R. Fink	34,161
Francis A. Even	16,880	Donald A. Peterson	18,647
Julius Tabin	16,754	James R. McBride	24,275
John F. Flannery	19,759	James J. Schumann	20,856
Robert B. Jones	20,135	Bruce R. Mansfield	29,086
James J. Hamill	19,958	Jeannette M. Walder	30,698
Timothy E. Levstik	30,192	James J. Myrick	25,901
Joseph E. Shipley	31,137	Mark A. Hamill	37,145
Bryant R. Gold	29,715	Perry J. Hoffman	37,150
Robert J. Fox	27,635	James P. Krueger	35,234
Kenneth H. Samples	25,747	Mark W. Hetzler	38,183
Philip T. Petti	31,651	Timothy P. Maloney	38,233
John S. Paniaguas	31,051	Thomas F. Lebens	38,221
Richard A. Kaba	30,562	Steven S. Favakeh	36,798

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made herein on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity or enforceability of the application or any patent issued thereon.

86610

Full name of sole or one joint inventor:

Robin Haley Gustin
(Given names first, with Family name last)

Inventor's signature:

Date:

Residence:

Chicago, Illinois
(City and State for U.S. Residents;
City and Country for others)

Post Office Address:

6171 North Sheridan Road, Unit 812
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Citizenship:

U.S.A.

Full name of sole or one
joint inventor:

Troy W. Livingston
(Given names first, with Family name last)

Inventor's signature:

Date:

Residence:

Northbrook, Illinois
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City and Country for others)

Post Office Address:

501 Pfingsten
Northbrook, Illinois 60062

Citizenship:

U.S.A.

Full name of sole or one
joint inventor:

Namsoo Park
(Given names first, with Family name last)

Inventor's signature:

Date:

Residence:

Schaumburg, Illinois
(City and State for U.S. Residents;
City and Country for others)

Post Office Address:

2232 Kensington Drive
Schaumburg, Illinois 60194

Citizenship:

Korean

Full name of sole or one
joint inventor:

Nabil Shekoory
(Given names first, with Family name last)

Inventor's signature:

Date:

Residence:

Chicago, Illinois
(City and State for U.S. Residents;
City and Country for others)

Post Office Address:

6811 North Lakewood, #2E
Chicago, Illinois 60626

Citizenship:

U.S.A.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) or Patentee(s): GUSTIN, ET AL.
Application No. or Patent No.: 08/866,139
Filed or Issued: May 30, 1997
For: AN AUTOMATED DOCUMENT CASHING SYSTEM

Group No.:
Examiner:

**VERIFIED STATEMENT (DECLARATION) CLAIMING
SMALL ENTITY STATUS (37 C.F.R. §§1.9(f)
and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- () the owner of the small business concern identified below:
(X) an official of the small business concern empowered to act on behalf
of the concern identified below:

NAME OF CONCERN CAPITAL SECURITY SYSTEMS, INC.
ADDRESS OF CONCERN 6171 North Sheridan Road
Chicago, Illinois 60660

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. §121.12, and reproduced in 37 C.F.R. §1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled An Automated Document Cashing System

Gustin, et al. by inventor(s)
described in

- () the specification filed herewith.
(X) application Serial No. 08/866,139, filed May 30, 1997.
() Patent No. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. §1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. §1.27)

Full Name _____
Address _____
() Individual () Small Business Concern () Nonprofit Organization

Full Name _____
Address _____
() Individual () Small Business Concern () Nonprofit Organization

Full Name _____
Address _____
() Individual () Small Business Concern () Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING _____ ROBIN H. GUSTIN

TITLE OF PERSON IF OTHER THAN OWNER _____ President

ADDRESS OF PERSON SIGNING _____ 6171 North Sheridan Road

Chicago, Illinois 60660

SIGNATURE _____ Robin H. Gustin

DATE _____ 8/14/97